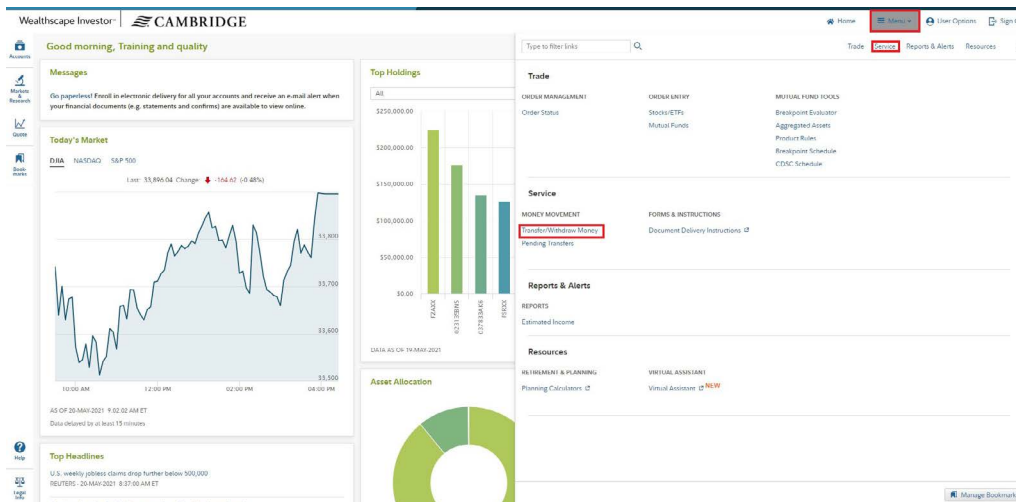


## WEALTHSCAPE INVESTOR MONEY MOVEMENT GUIDE

Money Movement functionality is now available through the Wealthscape Investor<sup>SM</sup> portal. This functionality must be requested by the financial professional on a client-by-client basis. This feature includes the ability for clients to create and monitor Electronic Funds Transfer (EFT) disbursements and receipts, check disbursements to address of record, journals (cash only), and retirement contributions. These features are only available as first-party transactions and are available at [wealthscapeinvestor.com](https://wealthscapeinvestor.com). This feature is not available on the mobile application.

Below are the steps to utilize these functionalities.

- Log into [wealthscapeinvestor.com/cir](https://wealthscapeinvestor.com/cir) with your login credentials
- Click on **Menu**
- Click on the **Service** tab
- Click the link **Transfer/Withdrawal Money**



- Select where the money will be transferred from in the drop-down list of eligible brokerage accounts
- Select where the money will be transferred to. You can transfer using EFT, check disbursement, or to another brokerage account.
- If using EFT, select the bank receiving the transfer (approved and pending standing instructions will display)
- In the Amount \$ box, enter the amount to transfer
- Click **Verify**

## Transfer/Withdraw Money

Where do you want to transfer FROM?

a&' - 033-000000 | Cash & Borrowing on Margin \$22,973.45†

Where do you want to transfer TO?

A Banking Account

Bank  JPMORGAN CHASE BANK (Your bank account affiliated with your Broker-Dealer.)\*

Account #: 888888888

Routing #: 000000000

JPMORGAN CHASE BANK

Account #: 98989898

Routing #: 021212121

JPMORGAN CHASE BANK (Pending) ‡

Account #: 000000000

Routing #: 222000000

Amount \$ 1,000.00

Cancel

Verify

- The verification window will display the details of the intended transfer. If changes are needed, click **Edit** to return to the transfer window. If no changes are needed, click **Submit**.

## Verification - Transfer/Withdraw Money

### Transfer Information


From Account # a&' - 033-000000 | Cash & Borrowing on Margin \$22,973.45†

To Bank JPMORGAN CHASE BANK

Account #: 000000000

Routing #: 000000000

Amount \$1,000.00

 **Warning:** By submitting this request, I am attesting that I am authorized to perform this transaction for this brokerage account and that the instructions are accurate.


Cancel

< Edit

Submit >

- The confirmation window will display the confirmation number and confirm that the transfer has been submitted

## Confirmation - Transfer/Withdraw Money

 You have successfully submitted this request.

Your confirmation number is 55555555

- You can check the status or attempt to cancel this transfer in [Pending Transfers](#)
- You can make another transfer in [Transfer/Withdraw Money](#)

### Transfer Information

From Account # a&' - 033-000000 | Cash & Borrowing on Margin \$22,973.45†

To Bank JPMORGAN CHASE BANK

Account #: 888888888

Routing #: 000000000

Amount \$1,000.00

- If you attempt to move more money than you have available you will receive the following error message

## Transfer/Withdraw Money

**Error: There was an error processing your request.**

- The amount you have requested exceeds the balance that is currently available for withdrawal. If you have recently placed trades in your brokerage account, the proceeds will be available for withdrawal upon settlement. Otherwise, please reduce the amount of your request or contact your Investment Representative or Broker-Dealer for further assistance. (C-1283)

- You can now check the status of any pending transfers. Click the **Service** tab in the upper right hand corner, then click on the link **Pending Transfers** to display the status window. From this window, you can also attempt to cancel transfers or enter a new transfer.

Test  
033-000019 SIMPSON MONI Individual (I) Total Account Value \$83,938,328.90 Cash Avail. to Trade \$0.00

**Pending Transfers** for 033-000019: 5 Transaction(s) As of Mar-15-2012 11:17 AM ET

Date	Time	From	To	Amount	Status	Est Completion	Action
<b>Transfer Confirmation # 56493456 (Retirement Contribution)</b>							
Feb-25-2012	3:54 PM ET	Joint - With Rights of Survivorshi...	CITI BANK - Account Number: 1...	\$800.00	Approved	Feb-25-2012	Attempt to Cancel
<b>Transfer Confirmation # 56893456 (Transfer Funds)</b>							
Feb-25-2012	4:54 PM ET	Individual (I) (033-000019)	JPMORGAN CHASE BANK - Acc...	\$500.00	Disapproved †	Feb-25-2012	
<b>Transfer Confirmation # 5683456 (Transfer Funds)</b>							
Feb-25-2012	4:54 PM ET	JPMORGAN CHASE BANK - Acc...	Individual (I) (033-000086)	\$100.00	Pending	Feb-25-2012	Attempt to Cancel
<b>Transfer Confirmation # 45893456 (Retirement Contribution)</b>							
Feb-25-2012	4:54 PM ET	Traditional IRA (IRA) (033-0002...	JOHN SMITH, 100 MAIN STREE...	\$400.00	Pending	Feb-25-2012	Attempt to Cancel
<b>Transfer Confirmation # 5436893456 (Transfer Funds)</b>							
Feb-25-2012	4:54 PM ET	Individual (I) (033-000264)	Joint - With Rights of Survivorshi...	\$500.00	Pending	Feb-25-2012	Attempt to Cancel

This list includes pending transfers only. Pending trades can be found in Order Status. [Enter a New Transfer](#)

\* The estimated completion date is shown for informational purposes only and actual completion dates may vary.  
† Please contact your Investment Representative or Broker Dealer for assistance.

- If you attempt to cancel a request, you will receive the following confirmation page

AAW-000027 KB PREETHAM Individual (I) Total Account Value \$8,998,655.90 Cash Avail. to Trade \$8,995,408.46

### Cancel Verification

This transaction's original confirmation number is : 56493456

**Transfer Information**

**From Account #** Joint - With Rights of Survivorship (J) - 033-000353

**To Bank** CITI BANK  
Account #: 14544234567  
Routing #: 021000089

**Amount** \$800.00

[Void this Cancel Request](#) [Attempt to Cancel](#)

Cancellations are performed on a best effort basis. There is no guarantee that a transfer can be cancelled.

- If you submit a cancellation that is not approved, you will see the following error message

Positions Balances Activity & Orders Documents Profile/Features

Account History Order Status Pending Transfers

**Error: Your cancelation request cannot be processed at this time. Please contact your Investment Representative or Broker-Dealer for assistance.**

[Return to Pending Transfers](#)

- Once you have canceled a transfer request you will receive a confirmation page

AAW-000027 KB PREETHAM Individual (I) Total Account Value \$8,998,655.90 Cash Avail. to Trade \$8,995,408.46

### Cancel Confirmation

**✓ You have successfully canceled this transfer request.**

- If you would like to check the status or cancel another transaction, go to [Pending Transfers](#)
- If you would like to make another transfer, go to [Transfer/Withdraw Money](#)

#### Transfer Information

From Account # Joint - With Rights of Survivorship (J) - 033-000353

To Bank CITI BANK  
Account #: 14544234567  
Routing #: 021000089

Amount \$800.00



For questions, contact the Cashiering Team by dialing 866-866-5005, press 1 for Partner Support Center, then press 3.

1776 Pleasant Plain Road | Fairfield, Iowa 52556 | 800-777-6080

Operations

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